

**UNIVERSITY OF TORONTO
DEPARTMENT OF POLITICAL SCIENCE
FALL 2023**

**POL 2318H
PUBLIC POLICY: THEORY AND APPROACHES
Thursday 10am-1 pm
Sidney Smith Hall 3130**

Prof. Jonathan Craft	Prof. Stefan Renckens
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Office Hours: By appointment	Office Hours: By appointment
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Course Objectives

This course serves as the core course for PhD students who are specializing in Public Policy as one of their fields. It provides an overview of developments in public policy theory and the various methods used by public policy scholars. The course readings combine seminal works and more recent contributions to theories and empirical analyses of public policy. They are representative of the different theoretical and empirical work regarding the causal factors that explain policy-making dynamics and outputs across time and place.

The course concentrates on addressing a number of questions that have preoccupied students of public policy, including: What micro-theories of individual behaviour best explain collective decision-making by political actors? What causal factors and processes – structural, institutional, ideational, and psychological – explain variations in public policies across policy sectors and jurisdictions? What causal mechanisms and processes explain policy stability and policy change? And how have policy processes been reshaped by the dynamics of globalization and policymaking beyond the state? The course draws on literature that is largely, but not exclusively, derived from studies of public policies in industrialized democracies.

The course is also open to MA students. They must consult the instructors to ensure they have the background for the demands of the course. **Students are expected to have sufficient background in research design and methods to address, in seminar discussions and assignments, the merits of the methods employed in the course readings (which may include large-n quantitative studies, small-n case studies, formal theory, process tracing, and experiments).**

Students with NO background in public policy should review an introductory text. We recommend one of the following:

Paul Cairney, *Understanding Public Policy: Theories and Issues*. London: Palgrave Macmillan, 2012.

Peter John, *Analyzing Public Policy* 2nd ed. London: Routledge, 2012.

Required Readings

Most of the required readings are journal articles that are available for down-loading on the University of Toronto's E-library system. They can also usually be accessed through a simple Google search of the article title. Required readings that are not available online (i.e. are book chapters or unpublished papers) have also been made available on Quercus. Please note, given how much we use this text, you may want to purchase:

Craig Parsons. *How to Map Arguments in Political Science*. Oxford: Oxford UP 2007.

A highly recommended text available through the UofT library system is the following:
Paul A. Sabatier and Christopher M. Weible, eds. *Theories of the Policy Process*. **4th ed.**
New York, NY: Routledge, 2018.

Course Requirements

Grades for this course will be based on the following requirements:

1. Seminar Participation: Cumulative throughout term: 20%
2. Critical reading responses and presentations: (4 x 20% each) 80%

1. Seminar Participation: 20%

Weekly attendance is mandatory. If it is impossible for you to attend a class, please email the instructors as much in advance as possible to explain why. All students are expected to have done the required readings before class and attend class prepared to discuss them. To facilitate seminar discussion, all students are expected to post 3 questions for discussion and/or points for further clarification on the readings by *4 pm Wednesday* on the course Quercus website. Questions are expected to address the theoretical or methodological features of the article. Students should consult one another's posting prior to the Thursday class and be prepared to discuss them as well as the readings. The seminar participation grade will be determined on the basis of the quality and frequency of participation. Frequency of participation will be determined by the regularity of intervention in class discussions. Quality of participation will be determined by demonstrated grasp of course readings, attentiveness to class discussion, and thoughtful comments and questions.

To assist you in preparing readings for seminar, the following steps are recommended:

Step One: Do you understand the basic terms and concepts used by the author?

- : list the concepts with which you had difficulty
- : try to write an explanation or definition for a few of these

Step Two: What is the central point or argument that the author is trying to make?

- : what is most important about what the author has said?
- : what are the interesting questions or hypotheses being addressed?
- : try to write out in two sentences at most what you think are the main points/most important points/most interesting questions or hypotheses of the reading

Step Three: How has the author organized their argument? What are the steps or major themes?

: write down what you see to be the steps in the argument

: ask yourself what would be the logical way to discuss the various sub-topics

Step Four: What evidence and methodology has the author used to support the argument?

Step Five: How does the reading relate to other material examined in the course?

: play the devil's advocate and query whether the reading provides anything new

: ask or state how the new material substantiates or contradicts point(s) raised in earlier readings or seminars

Step Six: How do you evaluate the presentation by the author?

: now is the time for you to say what you think: is the author credible? What parts of the argument are persuasive and what parts are less so? Prepare to justify your conclusions.

This sequence of steps is designed to ensure you understand the author's concepts and his or her argument before you evaluate the author's claims. Keeping written notes as you proceed through the sequence of steps gives you the basis for active participation in the seminar.

2. Critical Reading Responses/Presentations: Four (20% each)

Students will prepare three analytical papers of not more than eight double spaced pages (12 point font) on the readings for three separate weeks. Papers should develop an argument that appraises the major themes, concepts and methods of the readings, and situate the readings in the broader public policy sub-field. Papers should draw comparisons across the readings assigned for the week, highlighting their shared/different epistemological and ontological claims, and conclude with two or three questions for class discussion. Students may, but do not have to, include readings from “Additional MFE Readings” in their analytical paper.

Please plan on presenting your response paper in 10-15 minutes, and on leading the class discussion the day you present. *Students should email a copy of their paper (in Word format, not PDF) to the instructors by 5:00 pm Tuesday before the Thursday class.* Pending enrollment numbers, students may also be asked to lead additional discussions on weeks for which no written response paper is due.

In a fourth and final synthesis paper students will respond to a prompt from the instructors and reflect on all of the course required readings and seminar discussions. Papers should be not more than eight double spaced pages (12 point font) and are due by 5pm Tuesday, the final week of class.

Late Assignments

Assignments are due on the days assigned. The only exception is an adequately documented emergency and/or medical illness. Please contact the instructors *as soon as* the problem arises to inform them of the problem and present your written documentation when you return. Please keep copies of rough and draft work, as well as of the written work you submit until the marked assignments have been returned. All graded assignments are to be kept by students until the grades have been posted on ACORN.

Academic Integrity

Please be aware of the importance of academic integrity and the seriousness of academic dishonesty, including plagiarism. The more obvious instances of plagiarism include copying material from another source (book, journal, website, another student, and so on) without acknowledging the source, presenting an argument as your own – whether or not it is a direct quotation – rather than fully acknowledging the true originator of the idea, having another person help you to write your essay, and buying an essay. All of these are instances of academic dishonesty, which the university takes very seriously and they will result in academic penalty. Those penalties can range from failing the assignment, failing the course, having a notation on your academic transcript, and/or suspension from the university.

For further information on the University's Code of Behaviour on Academic Matters, see: <http://www.governingcouncil.utoronto.ca/policies/behaveac.htm>. To avoid problems in your assignments, please consult "How Not to Plagiarize" by Margaret Proctor: <http://www.writing.utoronto.ca/advice/using-sources/how-not-to-plagiarize/>.

Use of Generative Artificial Intelligence Tools in Assignments

The knowing use of generative artificial intelligence tools, including ChatGPT and other AI writing and coding assistants, for the completion of, or to support the completion of, an examination, term test, assignment, or any other form of academic assessment, may be considered an academic offense in this course.

Office Hours and Email Policy

Students are invited to meet with the instructors in-person or remotely by appointment about any matter relating to the course. The instructors are also available by email – but please reserve email communication for scheduling appointments, rather than for discussing the substance of your course assignments.

Accessibility Needs

The University of Toronto is committed to accessibility. If you require accommodations for a disability, or have any accessibility concerns about the course, the classroom, or course materials, please contact Accessibility Services as soon as possible: accessibility.services@utoronto.ca or <https://studentlife.utoronto.ca/departments/accessibility-services/>.

Notice of Video Recording and Sharing

Course videos and materials belong to your instructor, the University, and/or other sources depending on the specific facts of each situation, and are protected by copyright, including the *Copyright Act*, RSC 1985, c C-42. Do not download, copy, or share any course or student materials or videos without the explicit permission of the instructor. For questions about recording and use of videos in which you appear please contact your instructor.

Course Modification Statement

The instructors reserve the right to modify assigned readings during the term--with reasonable notice and with an explanation.

Schedule of Seminar Topics and Readings

Week 1: September 7 – The Study of Public Policy

- Professors Craft and Renckens will lead this seminar

Deleon, Peter. 1999. The Stages Approach to the Policy Process: What Has It Done? Where Is It Going? In Sabatier, Paul (Ed.). *Theories of the Policy Process*. 1st Edition. Boulder: Westview Press, pp. 19-32.

Richard Simeon. 1976. "Studying Public Policy." *Canadian Journal of Political Science* 9, 4: 548-580.

Michael Atkinson. 2016. "Richard Simeon and the Policy Sciences Project." *Canadian Journal of Political Science* 49, 4: 703-720.

Durnová, A.P., Weible, C.M. Tempest in a teapot? Toward new collaborations between mainstream policy process studies and interpretive policy studies. *Policy Sciences* 53, 571–588 (2020). <https://doi.org/10.1007/s11077-020-09387-y>

Moloney, Kim and Diane Stone. 2019. "Beyond the State: Global Policy and Transnational Administration." *International Review of Public Policy* 1, 1: 104-118.

Craig Parsons. 2007. *How to Map Arguments in Political Science*. Oxford: Oxford UP. Pp. 3-46.

Additional MFE Readings:

Helen Ingram, Peter de Leon, and Anne Schneider. 2016. "Conclusion: Public Policy Theory and Democracy: The Elephant in the Corner." in G. Guy Peters and Philippe Zittoun, eds. *Contemporary Approaches to Public Policy*. Palgrave: ch. 10. Electronic copy available through Robarts Library.

Isabelle Engeli, Christine Rothmayr Allison, and Eric Montpetit. 2018. "Beyond the Usual Suspects: New Research Themes in Comparative Public Policy." *Journal of Comparative Policy Analysis: Research & Practice* 20, 1: 114-32.

Pouliot, V., & Thérien, J. (2023). Global Policymaking: From Public Goods to Bricolage. In *Global Policymaking: The Patchwork of Global Governance* pp. 22-43. Cambridge: Cambridge University Press.

Week 2: September 14 - The Micro- or Behavioural Foundations of Public Policy

- *Professor Craft will lead this seminar*

Kenneth A. Shepsle and Mark S. Bonchek. 1997. *Analyzing Politics: Rationality, Behavior, and Institutions*. New York: Norton: chapter 2, pp. 15-35.

Craig Parsons. 2007. *How to Map Arguments in Political Science*. OUP. Chapter 2 (pp. 52-56) and Chapter 5, Psychological Explanations (pages 133-147).

Lindblom, C. E. (1979). Still muddling, not yet through. *Public Administration Review*, 39, 517–526.

Rick Wilson. 2011. “The Contribution of Behavioral Economics to Political Science.” *Annual Review of Political Science* 14: 201-223.

John, P., G. Smith and G. Stoker. 2009. “Nudge Nudge, Think Think: Two Strategies for Changing Civic Behaviour.” *The Political Quarterly* 80: 361–70.

Additional MFE Readings:

Lindblom, Charles E. 1959. “The Science of ‘Muddling Through.’” *Public Administration Review* 19 (2): 79–88.

John Forester. 1984. “Bounded Rationality and the Politics of Muddling Through.” *Public Administration Review* 44(1): 23-31.

Amos Tversky and Daniel Kahneman. 1981. “The Framing of Decisions and the Psychology of Choice.” *Science* 211: 453-458.

Herbert Simon. 1982. *Models of Bounded Rationality*. Cambridge, MA: MIT Press. Available online.

Jonathan Pierce, 2021. “Emotions and the policy process: Enthusiasm, anger and fear”, *Policy & Politics*, 49(4), 595–614.

Oliver, Adam. 2017. *The Origins of Behavioural Public Policy*. Cambridge, UK: Cambridge University Press.

Week 3: September 21 – Institutional Accounts of Public Policy

- *Professor Craft will lead this seminar*

Peter A. Hall Peter and Rosemary C.R. Taylor. 1996. “Political Science and the Three New Institutionalisms,” *Political Studies*, 44(5), 936-57.

George Tsebelis. 1995. "Decision Making in Political Systems: Veto Players in Presidentialism, Parliamentarism, Multicameralism and Multipartyism." *British Journal of Political Science* 25: 289-325.

Terry Moe. 2005. "Power and Political Institutions." *Perspectives on Politics* 3, 2: 215-233.

Giovanni Capoccia and Daniel Kelemen. 2007. "The Study of Critical Junctures: Theory, Narrative, and Counterfactuals in Historical Institutionalism." *World Politics* 59: 341-369.

Jacobs, A.M. and Weaver, R.K. 2015. "When policies undo themselves: self-undermining feedback as a source of policy change" *Governance* 28(4): 441-57.

Additional MFE Readings:

Douglass North. 1990. *Institutions, Institutional Change and Economic Performance*. CUP.

Fritz Scharpf. 1997. *Games Real Actors Play: Actor-Centred Institutionalism in Policy Research*. CUP, pp. 1-50.

Elinor Ostrom. 1999. "Coping with Tragedies of the Commons." *Annual Review of Political Science* 2: 493-535.

E.A. Koning, 2016. "The Three Institutionalisms and Institutional Dynamics: Understanding Endogenous and Exogenous Change." *Journal of Public Policy* 36, 4: 639-644.

Kathleen Thelen. 1999. "Historical Institutionalism in Comparative Politics." *Annual Review of Political Science* 2: 369-404.

Paul Pierson. 1993. "When Effect Becomes Cause: Policy Feedback and Political Change" *World Politics* 595-628.

Suzanne Mettler and Mallory SoRelle. 2018. "Policy Feedback Theory." In *Theories of the Policy Process*. 4th ed. Eds. Paul A. Sabatier and Christopher M. Weible.. Boulder, CO: Westview Press: 103-134.

Week 4: September 28 – Interest-based Accounts of Public Policy

- *Professor Renckens will lead this seminar*

Steven Lukes, 2005. *Power: A Radical View* (2nd ed.), Houndmills: Palgrave, chapter 1, pp. 14-59.

Mancur Olson, 1965. *The Logic of Collective Action: Public Goods and the Theory of Groups*. Cambridge: Harvard University Press, pp. 1-65.

Bernhagen, Patrick. 2007. *The Political Power of Business. Structure and Information in Public Policymaking*. London/New York: Routledge. Chapter 2: Groups, Institutions, Networks, Ideology or Structural Dependence: What Drives Business Power?: 22-53.

Grömping, Max and Jessica C. Teets. 2023. Toward a Theory of Lobbying under Authoritarianism in Grömping, Max and Jessica C. Teets (Eds.) *Lobbying the Autocrat. The Dynamics of Policy Advocacy in Nondemocracies*", Michigan University Press: 291-323

Diprose, R, Kurniawan, NI, Macdonald, K. Transnational policy influence and the politics of legitimization. *Governance*. 2019; 32: 223– 240.

Additional MFE Readings:

Adam Przeworski and Michael Wallerstein. 1988. "Structural Dependence of the State on Capital." *American Political Science Review* 82, 1: 11–29.

Martin Gilens and Benjamin I. Page. 2014. "Testing Theories of American Politics: Elites, Interest Groups, and Average Citizens." *Perspectives on Politics* 12, 3: 564-581.

Maraam Dwidar. 2021. "Coalitional Lobbying and Intersectional Representation in American Rulemaking." *American Political Science Review*, 1-21. doi:10.1017/S0003055421000794.

Pepper Culpepper. 2011. *Quiet Politics and Business Power: Corporate Control in Europe and Japan*. New York: Cambridge University Press, pp. 1-81.

Walter Mattli and Ngaire Woods. 2009. "In Whose Benefit? Explaining Regulatory Change in Global Politics." In Walter Mattli and Ngaire Woods (eds.). *The Politics of Global Regulation*. Princeton: Princeton University Press: 1-43.

Dür, A. (2008). Interest groups in the European Union: How powerful are they?. *West European Politics*, 31(6), 1212-1230.

Wang, X. (2019). Does the Structural Power of Business Matter in State Capitalism?: Evidence from China's Oil Politics under Xi Jinping. *Pacific Focus*, 34(2), 284-312.

Week 5: October 5 – Structural Accounts of Public Policy

- *Professor Renckens will lead this seminar*

Craig Parsons, 2010. *How to Map Arguments in Political Science*. OUP, Chapter 2.

Lodhi, Iftikhar. 2021. "Globalisation and Public Policy: Bridging the Disciplinary and Epistemological Boundaries." *Policy & Society* 40 (4): 522–44.

Strakosch, E. 2019. The technical is political: Settler colonialism and the Australian Indigenous policy system. *Australian Journal of Political Science*, 54(1), 114-130.

Lombardo, E., Meier, P., & Verloo, M. (2017). Policymaking from a gender+ equality perspective. *Journal of Women, Politics & Policy*, 38(1), 1-19.

Banting, K., & Thompson, D. (2021). The Puzzling Persistence of Racial Inequality in Canada. *Canadian Journal of Political Science/Revue Canadienne De Science Politique*, 54(4), 870-891.

Additional MFE Readings:

Jacqui True. 2003. "Mainstreaming Gender in Global Public Policy." *International Feminist Journal of Politics* 5:3, 368-396.

Bernstein, Steven, and Matthew Hoffmann. 2019. "Climate Politics, Metaphors and the Fractal Carbon Trap." *Nature Climate Change* 9 (12): 919–25.

Blomquist, W. 2007. The Policy Process and Large-N Comparative Studies. In Sabatier, Paul A. (Ed.). *Theories of the Policy Process*. 2nd ed. Boulder, Colo: Westview Press: 261-289.

Desmond King and Rogers Smith. 2005. "Racial Orders in American Political Development." *American Political Science Review* 99, 1: 75-92.

Catharine A. MacKinnon. 1982. "Feminism, Marxism, Method, and the State: An Agenda for Theory." *Signs: Journal of women in culture and society* 7, 3: 515-544.

Nancy Fraser. 2014. "Can Society be Commodities All the Way Down? Post-Polanyian Reflections on Capitalist Crisis." *Economy and Society* 43, 4: 541-558.

Week 6: October 12 –Ideational Accounts of Public Policy

- Professor Craft will lead this seminar

Peter A. Hall. 1993. "Policy Paradigms, Social Learning, and the State: The Case of Economic Policymaking in Britain." *Comparative Politics* 25, 3: 275-296.

Craig Parsons. 2007. *How to Map Arguments in Political Science*. OUP, Chapter 4.

Martin B. Carstensen & Vivien A. Schmidt. 2016. "Power through, over and in ideas: conceptualizing ideational power in discursive institutionalism", *Journal of European Public Policy*, 23(3), 318-337.

John L. Campbell. 1998. Institutional analysis and the role of ideas in political economy, *Theory and Society* 27: 377-409

Jonathan C. Kamkhaji & Claudio M. Radaelli. 2022. "Don't think it's a good idea! Four building sites of the 'ideas school'", *West European Politics*, 45(4): 841-862.

Additional MFE Readings:

John Campbell. 2002. "Ideas, Politics and Public Policy." *Annual Review of Sociology* 28: 21-38.

Mark Blyth. 2013. "Paradigms and Paradox: The Politics of Economic Ideas in Two Moments of Crisis." *Governance* 26, 2: 197-215.

Martin Carstensen. 2011. "Paradigm Man vs. the Bricoleur: Bricolage as an Alternative Vision of Agency in Ideational Change." *European Political Science Review* 3, 1: 147-167.

Johannes Lindvall. 2009. "The Real but Limited Influence of Expert Ideas." *World Politics* 61, 4: 703-730.

Vivien Schmidt. 2008. "Discursive Institutionalism: The Explanatory Power of Ideas and Discourse." *Annual Review of Political Science* 11: 303-326.

Peter Haas. 1992. "Introduction: Epistemic Communities and International Policy Coordination." *International Organization* 46, 1: 1-35.

Week 7: October 19 – Policy Networks, Subsystems, and Advocacy Coalition Framework

- *Professor Renckens will lead this seminar*

Grace Skogstad. 2008. "Policy Networks and Policy Communities: Conceptualizing State-Societal Relationships in the Policy Process." In *The Comparative Turn in Canadian Political Science* eds. L. White et al. Vancouver: UBC Press: 205-220.

McGee, Z.A. and Jones, B.D. 2019. "Reconceptualizing the Policy Subsystem: Integration with Complexity Theory and Social Network Analysis". *Policy Studies Journal*, 47: S138-S158

Dowding, K., 1995, Model or metaphor? A critical review of the policy network approach. *Political Studies*, 43: 136–158.

Jenkins-Smith, Hank C., Daniel Nohrstedt, Christopher M. Weible, Karin Ingold. 2018. The Advocacy Coalition Framework: An Overview of the Research Program. In Weible, Christopher M. and Paul Sabatier (Eds.). *Theories of the Policy Process*, 4th edition. Routledge: New York: 135-171.

Li, W., & Weible, C. M. (2021). China's policy processes and the advocacy coalition framework. *Policy Studies Journal*, 49(3), 703-730.

Additional MFE Readings:

Tanja A. Börzel. 1998. "Organizing Babylon: On the Different Conceptions of Policy Networks." *Public administration* 76, 2: 253-273.

Florence Metz and Laurence Brandenberger, 2022. "Policy Networks Across Political Systems." *American Journal of Political Science*, DOI: 10.1111/ajps.12699: 1-18.

Nwalie, M. I. (2019). "Advocacy Coalition Framework and Policy Changes in a Third-World Country". *Politics & policy*, 47(3), 545-568.

Osei-Kojo, A., Ingold, K. & Weible, C.M. The Advocacy Coalition Framework: Lessons from Applications in African Countries. *Polit Vierteljahresschr* 63, 181–201 (2022).
<https://doi.org/10.1007/s11615-022-00399-2>

Margaret Keck and Kathryn Sikkink. 1998. "Transnational Advocacy Networks in International Politics: Introduction." In *Activists Beyond Borders: Advocacy Networks in International Politics*. Cornell University Press.

Kefeli, D., Siegel, K. M., Pittaluga, L., & Dietz, T. 2023. "Environmental policy integration in a newly established natural resource-based sector: the role of advocacy coalitions and contrasting conceptions of sustainability". *Policy Sciences*, 56(1), 69-93.

Week 8: October 26 – Punctuated Equilibrium Theory and Multiple Streams Approach

- Professors Craft and Renckens will lead this seminar

Frank R. Baumgartner, Bryan D. Jones, and Peter B. Mortensen. 2018. "Punctuated Equilibrium Theory: Explaining Stability and Change in Public Policymaking" in Christopher M. Weible and Paul A. Sabatier (eds.), *Theories of the Policy Process, 4th Edition*. New York: Routledge, pp. 55-101.

Joly, Jeroen and Friederike Richter, 2023. "The calm before the storm: A punctuated equilibrium theory of international politics". *Policy Studies Journal*, 51(2), pp. 265-282

Cashore, Benjamin and Howlett, Michael. 2007. "Punctuating which equilibrium? Understanding thermostatic policy dynamics in pacific northwest forestry." *American Journal of Political Science*, 51(3), 532–551.

Nicole Herweg, Nikolaos Zahariadis, Reimut Zohlnhöfer. 2018. "The Multiple Streams Framework: Foundations, Refinements, and Empirical Applications", in Weible and Sabtier (eds.), *Theories of the Policy Process*, 4th edition, New York: Routledge, pp.17-53.

Sanjurjo, Diego. 2020. "Taking the Multiple Streams Framework for a Walk in Latin America." *Policy Sciences* 53(1): 205–21.

Additional MFE Readings:

Bryan D. Jones, Derek A. Epp and Frank R. Baumgartner, 2019. "Democracy, Authoritarianism, and Policy Punctuations", *International Review of Public Policy*. 1(1).

M. Cohen, J. March and J. Olsen. 1972. "A Garbage Can Model of Organizational Choice." *Administrative Science Quarterly*, 17: 1-25.

Sarah Pralle. 2003. "Venue Shopping, Political Strategy, and Policy Change: The Internationalization of Canadian Forest Advocacy." *Journal of Public Policy* 23 (03): 233–60.

Ackrill, Robert, Adrian Kay, and Nikolaos Zahariadis. 2013. "Ambiguity, Multiple Streams, and EU Policy." *Journal of European Public Policy* 20 (6): 871–87.

van den Dool, Annemieke. 2023. The multiple streams framework in a nondemocracy: The infeasibility of a national ban on live poultry sales in China. *Policy Studies Journal*, 51(2): 327-349

Qin, X., & Huang, J. 2023. "Policy punctuations and agenda diversity in China: a national level analysis from 1980 to 2019". *Policy Studies*, 1-21.

Kingdon, John. 1995. *Agendas, Alternatives, and Public Policies*. 2nd ed. New York: Addison Wesley Longman. Chapters 1, 4, and 8.

Week 9: November 2 – Policy Transfer, Policy Diffusion, and Convergence

- Professors Renckens will lead this seminar

David Marsh & J.C. Sharman, 2009. "Policy diffusion and policy transfer", *Policy Studies*, 30:3, 269-288

Evans, Mark. 2019. "International policy transfer: Between the global and sovereign and between the global and the local". In Stone, Diane and Kim Moloney. *The Oxford Handbook of Global Policy and Transnational Administration*. Oxford; Oxford University Press: 94-110

Dobbin, Frank, Beth Simmons and Geoffrey Garrett. 2007. "The Global Diffusion of Public Policies: Social Construction, Coercion, Competition, or Learning?" *Annual Review of Sociology* 33: 449-472.

Blatter, Joachim, Lea Portmann & Frowin Rausis, 2022. "Theorizing Policy Diffusion: From a Patchy Set of Mechanisms to a Paradigmatic Typology." *Journal of European Public Policy* 29:6, 805-825.

Graeme Boushey. 2010. *Policy Diffusion Dynamics in America*. New York: Cambridge University Press: chs. 1-3.

Additional MFE Readings:

Steven Bernstein and Benjamin Cashore. 2012. "Complex Global Governance and Domestic Policies: Four Paths of Influence." *International Affairs* 88, 3: 585-604.

Diane Stone. 2017. "Understanding the transfer of policy failure : bricolage, experimentalism and translation", *Politics and Policy*, 45(1). pp. 55-70.

Kurt Weyland, 2005. "Theories of Policy Diffusion: Lessons from Latin American Pension Reform." *World Politics* 57: 262-95.

Kelly Kollman. 2007. "Same-Sex Unions: The Globalization of an Idea." *International Studies Quarterly*, 51: 329-357.

Stefan Renckens, Grace Skogstad, and Matthieu Mondou. 2017. "When Normative and Market Power Interact: The European Union and Global Biofuels Governance." *Journal of Common Market Studies* 55, 6: 1432–1448.

Fabrizio Gilardi and Fabio Wasserfallen. 2019. "The Politics of Policy Diffusion." *European Journal of Political Research*. Online: <https://doi.org/10.1111/1475-6765.12326>.

Boyd, Brendan and Andrea Olive (co-editors). 2021. *Provincial Policy Laboratories: Policy Transfer and Diffusion in Canada's Federal System*. Toronto, Ontario: University of Toronto Press. Chapters 1, 3, 5, 8

November 9: Fall Reading Week – No Class

Week 10: November 16 – Design and Instrument Approaches

- Professor Craft will lead this seminar

Anne Schneider and Helen Ingram. 1993. "Social Construction of Target Populations: Implications for Politics and Policy." *American Political Science Review*, 87: 334-47.

Christopher Hood. 2007. "Intellectual Obsolescence and Intellectual Makeovers: Reflections on the Tools of Government After Two Decades." *Governance*, 20, 1:127–44.

Howlett, Michael, Ishani Mukherjee, and Jun Jie Woo. 2015. "From tools to toolkits in policy design studies: The new design orientation towards policy formulation research." *Policy and Politics*, 43, 2:291-311.

Azad Singh Bali, Michael Howlett & M Ramesh. 2022. "Unpacking policy portfolios: primary and secondary aspects of tool use in policy mixes", *Journal of Asian Public Policy*, 15(3), 321-337

Peter Hupe and Michael Hill. 2016. And the rest is implementation. Comparing approaches to what happens in policy processes beyond great expectations. *Public Policy and Administration* 31(2): 103–121.

Additional MFE Readings:

Giliberto Capano & Michael Howlett. 2020. The Knowns and Unknowns of Policy Instrument Analysis: Policy Tools and the Current Research Agenda on Policy Mixes. *SAGE Open*, 10(1).

Bobrow D (2006) Policy Design: Ubiquitous, Necessary and Difficult. In: Peters G, Pierre J (eds) *Handbook of Public Policy*, SAGE, pp. 75–96.

Anne Schneider and Mara Sidney. 2009. What Is Next for Policy Design and Social Construction Theory? *Policy Studies Journal*, 37: 103-119

Patrick Lascoumes & Patrick Le Gales. 2007, Introduction: Understanding Public Policy through Its Instruments—From the Nature of Instruments to the Sociology of Public Policy Instrumentation. *Governance*, 20: 1-21.

Eric Montpetit, Christine Rothmayr, and Frédéric Varone. 2005. “Institutional Vulnerability to Social Constructions: Federalism, Target Populations, and Policy Designs for Assisted Reproductive Technology in Six Democracies.” *Comparative Political Studies* 38 (2): 119–42.

Amanda Clarke and Jonathan Craft. 2019. “The Twin Faces of Public Sector Design”, *Governance*, 32(1):5-21.

Siddiki, S., & Curley, C. (2022). Conceptualising policy design in the policy process, *Policy & Politics*, 50(1), 117-135

Week 11: November 23: Public, Private and Transnational Policymaking Interactions

- *Professor Renckens will lead this seminar*

Mattli, Walter, and Tim Buthe. 2011. *The New Global Rulers : The Privatization of Regulation in the World Economy*. Princeton: Princeton University Press. Chapter 2: PRivate Nonmarket Rulemaking in Context: A Typology of Global Regulation, pp. 28-48.

Abbott, Kenneth W., and Duncan Snidal. 2009. “The Governance Triangle: Regulatory Standards Institutions and the Shadow of the State.” In Walter Mattli and Ngaire Woods (eds.). *The Politics of Global Regulation*. Princeton: Princeton University Press: 44-88..

Cashore, Benjamin, Jette Steen Knudsen, Jeremy Moon, and Hamish van der Ven. 2021. Private Authority and Public Policy Interactions in Global Context: Governance Spheres for Problem Solving. *Regulation & Governance* 15, 4: 1166-1182.

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Week 12: November 30 - Course Wrap up and Review: Synthesis and Emergent Frontiers

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