## UNIVERSITY OF TORONTO MISSISSAUGA

#### **Department of Political Science**

#### POL 478Y/2019Y (2017-18)

#### MORAL REASON AND ECONOMIC HISTORY

Professor R.B. Day 229 Kaneff Centre (905) 828-5203

Office Hours: Thursday 3-5 (or by appointment)

email: richardb.day@utoronto.ca

Course Website: www.richardbday.com

#### SECTION I: POLITICAL ECONOMY AND ETHICAL LIFE

### 1) 6 September – Course Outline and Grading

### 2) 13 September – Plato and Aristotle: Justice and Economy, the Ethical Whole and the Parts

\*K. Polanyi, "Aristotle Discovers the Economy" in G. Dalton (ed), *Primitive, Archaic and Modern Economies: Essays of Karl Polanyi*, ch. 5; also in Polanyi, Arensberg & Pearson (eds), *Trade and Market in the Early Empires*, pp. 64-94

Aristotle, The Politics

Plato. The Republic

J.J. Spengler, Origins of Economic Thought and Justice, ch. 5

E. Barker, Political Thought of Plato and Aristotle, chs. 3-4, 6-9

- J. Barnes (ed), Cambridge Companion to Aristotle, chs. 7-8
- S. Meikle, Aristotle's Economic Thought

# 3) 20 September – Augustine, Aquinas, Calvin: "Embedded Consciousness", Spiritual Community & the "Spirit of Capitalism"

\*Polanyi, "Obsolete Market Mentality," in Dalton (ed), Primitive, Archaic and Modern Economies

\*Weber, The Protestant Ethic and the Spirit of Capitalism

K. Samuelsson, Religion and Economic Action

P.E. Sigmund (ed), St. Thomas Aquinas on Politics and Ethics

R.H. Tawney, Religion and the Rise of Capitalism

E.L. Fortin, "St. Thomas Aquinas" and "St. Augustine" in L. Strauss & J. Cropsey (eds), *History of Political Philosophy* 

A, Kuyper, Lectures on Calvinism: The Stone Foundation Lectures

Andre Bieler, Calvin's Economic and Social Thought

#### 4) 27 September – Adam Smith: Individual Moral Judgment and Macro-Economic Growth

\*J. Cropsey, "Adam Smith," in L. Strauss & J. Cropsey (eds), *History of Political Philosophy* 

\_\_\_\_\_, Polity and Economy: An Interpretation of the Principles of Adam Smith

S.J. Pack, Capitalism as a Moral System: Adam Smith's Critique of the Free Market Economy

Adam Smith, The Theory of Moral Sentiments

\*Adam Smith, Wealth of Nations, Book I, chs. 1,2,7; Book II, ch. 3

Adam Smith, Lectures on Jurisprudence

R.B. Day & Joseph Masciulli (eds), Globalization and Political Ethics, ch. 1

E.K. Hunt, History of Economic Thought, 2d. edn, ch. 3

O.H. Taylor, A History of Economic Thought, chs. 1-4

D.D. Raphael, Adam Smith

A.L. Macfie, The Individual in Society: Papers on Adam Smith

C. Griswold, N. Waszek et al, Adam Smith

V. Brown, "Signifying Voices: Reading the 'Adam Smith Problem'," in *Economics and Philosophy* 7, no.2:187-220

Jerry Evensky, Adam Smith's Moral Philosophy: A Historical and Contemporary Perspective on Markets, Law, Ethics and Culture

#### 5) 4 October – Kant: Individual Judgments and Universal Moral Law

\*Roger Scruton, Kant: A Very Short Introduction

\*P. Hassner, "Immanuel Kant" in L. Strauss & J. Cropsey (eds), History of Political Philosophy

E.A. Preobrazhensky, *Morals and Class Consciousness* 

H. Reiss (ed), Kant's Political Writings, intro & pp. 41-91

L.W. Beck (ed), Kant on History

R.J. Sullivan, Introduction to Kant's Ethics

L. Goldmann, Immanuel Kant

Mary Gregor, Laws of Freedom

Mary Gregor (ed), Practical Philosophy (The Cambridge Edition of the Works of Immanuel Kant)

Kant, Fundamental Principles of the Metaphysic of Morals

Kant, The Science of Right

Kant, The Metaphysical Elements of Justice

T.E. Hill, Dignity and Practical Reason in Kant's Moral Theory

H.J. Paton, The Categorical Imperative

H. Saner, Kant's Political Thought

Howard Williams, Kant's Political Philosophy

C.M. Korsgaard, Creating the Kingdom of Ends

E. Cassirer, Kant's Life and Thought

J. Hartnack, Kant's Theory of Knowledge

Jennifer Moore, "Kant's Ethical Community," Journal of Value Inquiry 26 (1992), 51-71

#### 6) 18 October – Hegel: The Reason of History (Universal History as "Modes" of Consciousness)

\*R. Plant, Hegel: An Introduction

\*Peter Singer, Hegel: A Very Short Introduction

C. Taylor, Hegel

G.W.F. Hegel, Introduction to the Philosophy of History

Hegel, Phenomenology of Spirit

Hegel, The Philosophy of Spirit (1805-6) in Leo Rauch (ed), Hegel and the Human Spirit

Hegel, System of Ethical Life (1802-3) and First Philosophy of Spirit – ed. & trans. M.S. Harris & T.M. Knox

Q. Lauer, A Reading of Hegel's `Phenomenology of Spirit'

Q. Lauer, Hegel's Idea of Philosophy

G. Lukacs, The Young Hegel

F.C. Beiser (ed), Cambridge Companion to Hegel

W.T. Stace, The Philosophy of Hegel

G.R.G. Mure, The Philosophy of Hegel

J. Hartnack, An Introduction to Hegel's Logic

#### 7) 25 October – Hegel: Civil Society and Ethical Life (Dialectic of the Parts and the Whole)

\*S. Avineri, Hegel's Theory of the Modern State

\*R.D. Winfield, The Just Economy

G.W.F. Hegel, Philosophy of Right

Z.A. Pelczynski (ed), The State and Civil Society: Studies in Hegel's Political Philosophy, chs. 5-6, 9-10

Z.A. Pelczynski, Hegel's Political Philosophy: Problems and Perspectives

C. Taylor, Hegel and Modern Society

F.C. Beiser (ed), Cambridge Companion to Hegel

R.B. Day, "Hegel and Marx: Perspectives on Politics and Technology," in R.B.Day, R. Beiner & J. Masciulli (eds), *Democratic Theory and Technological Society* 

T.M. Knox (trans), Hegel's Political Writings

W.H. Walsh, *Hegelian Ethics* 

Paul Diesing, Hegel's Dialectical Political Economy: A Contemporary Application

Walter Kaufmann (ed) Hegel's Political Philosophy

## 8) 1 November – Hegel and Marx: Mediation, Objectification and Alienation (History as Modes of Production)

\*Peter Singer, Marx: A Very Short Introduction

\*S. Avineri, Social and Political Thought of Karl Marx

Marx, Critique of Hegel's Philosophy of Right

R.C. Tucker (ed), Marx-Engels Reader, 2d. edn, Part I

E. Mandel, The Formation of the Economic Thought of Karl Marx

E. Mandel, Marxist Economic Theory (2 vols)

P. Singer, Marx

Michael Evans, Karl Marx

E.K. Hunt, History of Economic Thought, 2d edn, ch. 9

R.B. Day, The `Crisis' and the `Crash', ch. 1

William Maker (ed), Hegel on Economics and Freedom

## 9) 8 November – Hegel and Marx: The Philosophy of Economics

\*R.B. Day, "Hegel, Marx, Lukacs: The Dialectic of Freedom and Necessity," in *History of European Ideas*, Vol 11 (1989), pp. 907-34 -- OR "Marx and Lukacs on Technology and the 'Value' of Freedom" in R.B. Day, R. Beiner & J. Masciulli (eds), *Democratic Theory and Technological Society* 

\*R.C. Tucker (ed), *Marx-Engels Reader*, pp. 221-93 or Marx, *Grundrisse* (trans. M. Nicolaus), pp. 171-73, 408, 469-70, 692-711.

Tony Burns & Ian Fraser (eds), The Hegel-Marx Connection

D. Pokorny, "Marx's Philosophy of Surplus Value," in *Philosophical Forum*, XVI, No.4, Summer 1985 William Maker (ed), *Hegel on Economics and Freedom* 

#### 10) 15 November - Marx: Labour Theory of "Value" and the Science of Economics

\*R.B. Day, The 'Crisis' and the 'Crash', ch.1

\*Ernest Mandel, An Introduction to Marxist Economic Theory

E.K. Hunt, History of Economic Thought, 2d. edn., ch. 9

E. Mandel, Marxist Economic Theory (2 vols)

N. Levine, "Toward the Reconstruction of Das Kapital," in Dialogue Within the Dialectic

Marx, The German Ideology (Tucker, 146-202)

Marx, Wage Labour and Capital (Tucker, 203-217)

Marx, Capital (excerpts in Tucker, 294-361, 419-465)

Carol C. Gould, Marx's Social Ontology

#### SECTION II: MARXISM AS REASON AND UNREASON

#### 11) 22 November – Lukacs: History and Class Consciousness (The Spirit of Revolution)

\*G. Lukacs, History and Class Consciousness

\*G. Lukacs, Tactics and Ethics

\*G. Lukacs, A Defence of History and Class Consciousness

I. Meszaros (ed), Aspects of History and Class Consciousness

I. Meszaros , Lukacs' Concept of Dialectic

M. Lowy, Georg Lukacs: From Romanticism to Bolshevism

V. Zitta, Georg Lukacs' Marxism: Alienation, Dialectics, Revolution

R. Livingstone (ed), Georg Lukacs: Political Writings 1919-1929

A. Arato & P. Breines, The Young Lukacs and the Origins of Western Marxism

A. Feenberg, Lukacs, Marx and the Sources of Critical Theory

A. Kadarkay (ed), The Lukacs Reader

## 12) 29 November – First Years of the Russian Revolution (1917-1921): What Happens to the State?

- \* S.A. Smith, The Russian Revolution: a Very Short Introduction
- \*V.I. Lenin, State and Revolution
- \*Marx, "The Civil War in France" in Tucker (ed), The Marx-Engels Reader, pp. 618-52
- \*Nicolas Berdyaev, The Origins of Russian Communism \*M. Brinton, The Bolsheviks and Workers' Control Alec Nove, An Economic History of the USSR chs. 1-4
- V.I. Lenin, The Proletarian Revolution and the Renegade Kautsky
- A. Kollontai, The Workers' Opposition [reprinted in Alix Holt (ed), Alexandra Kollontai: Selected Writings]
- K. Kautsky, The Dictatorship of the Proletariat
- R. Luxemburg, The Russian Revolution
- R.V. Daniels, Conscience of the Revolution
- E.H. Carr, The Russian Revolution from Lenin to Stalin, 1917-1929
- N.I. Bukharin, Economics of the Transition Period
- L.D. Trotsky, Terrorism and Communism
  - \_\_\_\_, Leon Trotsky on the Paris Commune
- R.B. Day, "Introduction" in N.I. Bukharin, Selected Writings on the State and the Transition to Socialism
- R.B. Day, Leon Trotsky and the Politics of Economic Isolation
- R.B. Day, "Leon Trotsky on the Dialectics of Democratic Control" in P. Wiles, ed., *The Soviet Economy on the Brink of Reform*
- P.R. Gregory & R.C. Stuart, Soviet and Post-Soviet Economic Structure and Performance (5th edn), ch.3

## 13a) 3 January – Subjects and Objects: Epistemological Abstractions of "Dialectical Materialism"

(Note: There will be no in-class lecture on this day. Notes will be posted on the class website.)

- \*N. Levine, The Tragic Deception: Marx contra Engels
- N. Levine, "Hegelianized Leninism" in Dialogue Within the Dialectic
- \*V.I. Lenin, Materialism & Empirio-Criticism
- V.I. Lenin , State and Revolution
- J.V. Stalin, Dialectical and Historical Materialism
- J.V. Stalin, The Foundations of Leninism
- J.V. Stalin, On the Problems of Leninism
- J.V. Stalin, Economic Problems of Socialism in the USSR
- J.V. Stalin, Marxism and Linguistics
- J.V. Stalin, Dialectical and Historical Materialism
- N.I. Bukharin, Historical Materialism
- Lukacs, "N. Bukharin: Historical Materialism" in Tactics and Ethics
- A. Gramsci, "Critical Notes on an Attempt at a Popular Presentation of Marxism" in *The Modern Prince and Other Writings*

# **13b) 3 January – Pashukanis – Totalitarian Planning as "Technical" Regulation** (Notes will be posted on the class website.)

- \*E.B. Pashukanis, Law and Marxism: A General Theory
- E.B. Pashukanis, Selected Writings on Marxism and Law (ed. P. Beirne and R. Sharlet)
  - E.B. Pashukanis, The Soviet State and the Revolution in Law
- J. Hazard (ed), Soviet Legal Philosophy
- H. W. Babb (ed), Soviet Legal Philosophy
- A.Y. Vyshinsky, The Law of the Soviet State

## 14) 10 January – Stalinist Industrialization as "Totalitarian" Planning – WHY? (Culture, Economic Necessity or Personality?)

- \*L. Trotsky, Permanent Revolution and Results and Prospects
- \*Nicolas Berdyaev, The Origins of Russian Communism
- \*A. Gerschenkron, Economic Backwardness in Historical Perspective
  - [http://isites.harvard.edu/fs/docs/icb.topic572311.files/Mon%2022%20June%20%201/Gerschenkron.pdf]
- \*A. Gerschenkron, "Problems and Patterns of Russian Economic Development," in C.E. Black (ed), *The Transformation of Russian Society*

- \*T.H. Von Laue, Why Lenin? Why Stalin?
- R.B. Day, "Preobrazhensky and the Dialectics of the Transition Period" in *Soviet Studies*, XXVII, no2. (April 1975)
- E.A. Preobrazhensky, From the NEP to Socialism
- E.A. Preobrazhensky, The New Economics, ch. II
- Thomas M. Twiss, Trotsky and the Problem of Soviet Bureaucracy
- L. Trotsky, The Revolution Betrayed
- R.B.Day, "On `Primitive' and Other Forms of Socialist Accumulation" in *Labour/Le Travailleur*, No.10 (Autumn, 1982), 10 pgs.
- R.B.Day, Leon Trotsky and the Politics of Economic Isolation
- R.B.Day, "Democratic Control and the Dignity of Politics An Analysis of *The Revolution Betrayed*" in *Comparative Economic Studies*, Vol. XXIX, No.3 (Fall, 1987)
- R.B.Day, "Leon Trotsky and the Dialectics of Democratic Control" in *The Soviet Economy on the Brink of Reform*, ed. Peter Wiles (London: Unwin Hyman, 1988)
- R.B.Day, "Between Hegel and Habermas: The Political Theory of Leon Trotsky" in *The Trotsky Reappraisal*, ed. Terry Brotherstone and Paul Dukes (Edinburgh: Edinburgh: University Press, 1992)
- A. Nove, Economic History of the USSR
- M. Dobb, Soviet Economic Development Since 1917
- P.R. Gregory & R.C. Stuart, Soviet and Post-Soviet Economic Structure and Performance (5th edn), chs. 4-5
- T.H. Von Laue, Why Lenin? Why Stalin?
- C.J. Friedrich (ed), Totalitarianism
- C.J. Friedrich & Z.K. Brzezinski, Totalitarian Dictatorship and Autocracy

Barrington Moore, Jr., Terror and Progress - USSR

- R. Medvedev, Let History Judge
- D. Volkogonov, Stalin Triumph and Tragedy
- L. Trotsky, Stalin An Appraisal of the Man and His Influence
- G.F. Alexandrov et al, Joseph Stalin, A Short Biography
- I. Deutscher, Stalin, A Political Biography
- R.V. Daniels, The Stalin Revolution
- Kun, Miklos, Stalin: An Unknown Portrait

Naumov, V.P., Stalin's Last Crime: The Plot Against the Jewish Doctors, 1948-1953

Applebaum, Anne, Gulag: A History

King, David, Ordinary Citizens: The Victims of Stalin

Medvedev, Zhores, and Roy Medvedev, The Unknown Stalin

Sebag, Simon, The Court of the Red Tsar

Medvedev, Roy A., On Stalin and Stalinism

## 15) 17 January – Lukacs (after Stalin): The Ontology of Social Being and the Limits of Consciousness

- \*G. Lukacs, Hegel
- \* G. Lukacs, Marx
- \* G. Lukacs, Labour
- \* G. Lukacs, The Process of Democratization
- G. Lukacs, The Young Hegel
- G. Lukacs, "Bolshevism as an Ethical Problem" in Arpad Kadarky (ed), The Lukacs Reader
- G. Lukacs, "Class Consciousness," in Arkady (ed), The Lukacs Reader
- C. Varga, The Place of Law in Lukacs' World Concept
- G.H. R. Parkinson, Georg Lukacs
- E. Joos, Lukacs' Last Autocriticism
- T. Pinkus (ed), Conversations with Lukacs
- A. Heller (ed), Lukacs Revalued
- R.B. Day, "Marx and Lukacs on Technology and the `Value' of Freedom" in R.B. Day, R. Beiner & J. Masciulli (eds), *Democratic Theory and Technological Society*

Fariborz Shafai, The Ontology of Georg Lukacs

#### SECTION III: CAPITALISM AS REASON AND UNREASON

## 16a) 24 January – Hayek: Universal Evolution, "Just Conduct" and the Limits of Reason

- \* F. A. Hayek, The Fatal Conceit
- \* F. A. Hayek, Law, Legislation and Liberty (3 vols)
- F. A. Hayek, Individualism and Economic Order, pp. 1-91
- F. A. Hayek, New Studies, chs. 1-3, 6-10
- F. A. Hayek, The Constitution of Liberty
- F. A. Hayek, Studies in Philosophy, Politics and Economics
- F. A. Hayek, Hayek on Hayek: An Autobiographical Dialogue
- F. A. Hayek, The Counter-Revolution of Science
- F. A. Hayek, The Sensory Order
- D.J. Boudreaux, The Essential Hayek
- R.B. Day, "History, Reason and Hope: A Comparative Study of Kant, Hayek and Habermas" in *Humanitas*, Vol XV, No. 2 (2002)
- W. N. Butos & R. G. Koppl, "The Varieties of Subjectivism," in *History of Political Economy* (Summer, 1997)
- N. Barry, Hayek's Social and Economic Philosophy
- G. Walker, Ethics of F.A. Hayek
- J. Gray, Beyond the New Right, ch. 3
- J. Gray, Hayek On Liberty
- A. Gamble, Hayek: The Iron Cage of Liberty
- M. Colonna, H. Hagemann, O.F. Hamouda (eds), *Capitalism, Socialism and Knowledge: The Economics of F.A. Hayek* (Vol. II)
- M. Colonna & H. Hagemann (eds) Money and Business Cycles: The Economics of F.A. Hayek (I)

Ebenstein, A., Hayek, A Biography Caldwell, B., Hayek's Challenge

Kley, Roland, Hayek's Social and Political thought

Seldon, Arthur, Agenda for a Free Society: Essays on Hayek's The Constitution of Liberty

Rowland, Barbara M., Ordered Liberty and the Constitutional Framework: The Political Thought of Friedrich A. Hayek

Edward Feser (ed), The Cambridge Companion to Hayek

Nicholas Wapshott, Keynes Hayek: The Clash that Defined Modern Economics

## **16b) 24 January -- Herbert Marcuse: From Reason to Capitalist UnReason** (Notes will be posted on the class website.)

*Н.	Marcuse, Reason	n and Revolutio
*	, Eros and Ci	ivilization

\*\_\_\_\_, One-Dimensional Man

\_\_\_\_\_, Soviet Marxism

\_\_\_\_\_, An Essay on Liberation

\_\_\_\_\_, Counter-Revolution and Revolt

\_\_\_\_\_, Five Lectures: Psychoanalysis, Politics, and Utopia

K. H. Wolff & Barrington Moore, Jr. (eds) The Critical Spirit: Essays in Honor of Herbert Marcuse

P. Mattick, Critique of Marcuse: One-Dimensional Man in Class Society

R. Pippin, A. Feenberg & C.P. Webel, Marcuse: Critical Theory and the Promise of Utopia

A. MacIntyre, Marcuse

R.W. Marks, The Meaning of Marcuse

M. Schoolman, The Imaginary Witness

- P. Slater, Origins and Significance of the Frankfurt School
- T. Bottomore, The Frankfurt School
- S. Freud, Civilization and its Discontents
- D. Kellner, Herbert Marcuse and The Crisis of Western Marxism

#### 17) 31 January – John Rawls: Justice as "Fairness" in the Welfare State

- \*W. Kymlicka, Contemporary Political Philosophy, chs. 1-3
- \*J. Rawls, A Theory of Justice, chs. 2-5

\*Hegel, Philosophy of Right, 'Abstract Right'

Stanford Encyclopedia of Philosophy (Rawls entry, accessed August 20, 2017) [http://plato.stanford.edu/entries/rawls]

- J. Rawls, Political Liberalism
- J. Rawls, Lectures on the History of Moral Philosophy
- J. Rawls, The Law of Peoples
- J. Rawls, Justice as Fairness: A Restatement
- N. Daniels (ed), Reading Rawls: Critical Studies on Rawls' 'A Theory of Justice'
- R.P. Wolff, Understanding Rawls: A Reconstruction and Critique of `A Theory of Justice'
- T. Nagel, A View From Nowhere
- M.J. Sandel, Liberalism and the Limits of Justice
- M.J. Sandel,"The Procedural Republic and the Unencumbered Self" in *Political Theory*, 12:1, pp. 81-96 (Reprinted in S. Avineri & A. de-Shalit, *Communitarianism and Individualism*, ch. 1)
- C. Kukathas & P. Pettit, Rawls: A Theory of Justice and its Critics
- K. Baynes, The Normative Grounds of Social Criticism: Kant, Rawls and Habermas
- B.M. Barry, The Liberal Theory of Justice: A Critical Examination of the Principal Doctrines in A Theory of Justice by John Rawls
- R. Alejandro, The Limits of Rawlsian Justice

Jurgen Habermas, "Reconciliation Through the Public Use of Reason," in *The Journal of Philosophy*, Volume 92, Issue 3 (March, 1995), 109-131 [also in Habermas, *The Inclusion of the Other*, chapter 2]

Macpherson, C.B., "Rawls's Distributive Justice," in *Democratic Theory: Essays in Retrieval* Thomas Pogge, *John Rawls: His Life and theory of Justice* 

#### 18a) 7 February – Jurgen Habermas: Practical Reason and Communicative Action

\*James Gordon Finlayson, Habermas: A Very Short Introduction

\*S.K. White, The Recent Work of Jurgen Habermas

- S.K. White (ed), Cambridge Companion to Habermas
- J. Habermas, Legitimation Crisis
- J. Habermas, The Theory of Communicative Action (2 vols)
- J. Habermas, Moral Consciousness and Communicative Action
- J. Habermas, Communication and the Evolution of Society
- J. Habermas, Between Facts and Norms: Contributions to a Discourse Theory of Law and Democracy
- J. Habermas, Inclusion of the Other
- J. Habermas, The Lure of Technocracy
- T. McCarthy, The Critical Theory of Jurgen Habermas
- T. Rockmore, Habermas on Historical Materialism

#### 18b) 7 February (HANDOUT) – Alfred Marshall: Economic Science and "Value Judgments"

\*A. Marshall, *Principles of Economics* (8th edn), pp. 1-40, 593-600, 630-1

\*M. Friedman, "The Methodology of Positive Economics" in D.M. Hausman (ed), *The Philosophy of Economics* 

I.M.D. Little, Critique of Welfare Economics, chs.5-6, 9-10, 12, 14

R. Kuttner, Everything for Sale: The Virtues and Limits of Markets

M. J. Radin, Contested Commodities

Samuel Brittan and Alan P. Hamlin (eds), Market Capitalism and Moral Values

Michael J. Sandel, What Money Can't Buy: The Moral Limits of Markets

J.M. Buchanan, Ethics and Economic Progress (especially ch. 3)

A. Buchanan, Ethics, Efficiency and the Market

E.K. Hunt, History of Economic Thought, 2d edn., 359-72

A. Barber, History of Economic Thought, chs. 6-7

E. Anderson, Value in Ethics and Economics, chs. 1-2, 6-9

A. Sen, On Ethics and Economics

V. Walsh, "Philosophy and Economics" in J. Eatwell, M. Milgate & P. Newman (eds), *The New Palgrave: A Dictionary of Economics* 

K.W. Rothschild, Ethics and Economic Theory

McPherson, M.S., "Reuniting Economics and Philosophy" in G.C. Winston & R.F. Teichgraeber III (eds), *The Boundaries of Economics* 

D.M. Hausman and M.S. Mcpherson, "Taking Ethics Seriously: Economics and "Contemporary Moral Philosophy" in *Journal of Economic Literature*, 31, no. 2:671-731

A.K. Sen, "Rational Fools: A Critique of the Behavioral Foundations of Economic Theory," in *Philosophy and Public Affairs* 6:317-44

David A. Reisman, Alfred Marshall's Mission

David A. Reisman, Alfred Marshall: Progress and Politics

#### SECTION IV: REASON, HISTORY AND "THE END OF HISTORY"

#### 19) 14 February – J.M. Keynes: Political Determination of Macro-Markets

\*Robert Skidelsky, Keynes: A Very Short Introduction

\*M. Stewart, Keynes and After

\* M. Stewart, Keynes in the 1990s

\*R.M. O'Donnell, Keynes: Philosophy, Economics and Politics

R.M. O'Donnell, (ed), Keynes as Philosopher-Economist

B.W. Bateman, Keynes and Philosophy

J.B. Davis, Keynes' Philosophical Development

R. Skidelsky, John Maynard Keynes: Hopes Betrayed

R. Skidelsky, John Maynard Keynes: The Economist as Saviour

R. Skidelsky, John Maynard Keynes: Fighting for Freedom

R. Skidelsky, *John Maynard Keynes 1883-1946: Economist, Philosopher, Statesman* [an abridged version of the previous three volumes listed above]

R. Skidelsky, *Keynes (Past Masters)* 

R. Skidelsky, Keynes: The Return of the Master

W. Parsons, Keynes and the Quest for a Moral Science

J.M. Keynes, General Theory of Employment, Interest and Money

J.M. Keynes, A Treatise on Probability

R. Lekachman, The Age of Keynes

H.L. Wattel (ed), Political Consequences of John Maynard Keynes

E.K. Hunt, History of Economic Thought (2d edn), ch. 15

W.A. Lewis, Economic Survey, 1919-1939

C.P. Kindleberger, The World in Depression

J.K. Galbraith, The Great Crash, 1929

T.H. Watkins, The Great Depression

D. Dillard, The Economics of John Maynard Keynes, chs. 1-3

Milton Friedman, "John Maynard Keynes"

 $(http://www.richmondfed.org/publications/economic\_research/economic\_quarterly/pdfs/spring 1997/friedman.pdf)\\$ 

B. Gerrard, J. Hillard, The Philosophy and Economics of J.M. Keynes

J. Runde, S. Mizuhara, The Philosophy of Keynes' Economics: Probability, Uncertainty and Convention

P. Mattick, Marx and Keynes

J. Eaton, Marx against Keynes

C. Sardoni, Marx and Keynes on Economic Recession

S.W. Helburn & D.F. Bramhall (eds), Marx, Schumpter and Keynes

Paul Davidson, John Maynard Keynes

Roger E. Backhouse & Bradley W. Bateman (eds), The Cambridge Companion to Keynes

George A. Akerlof & Robert J. Shiller, Animal Spirits: How Human Psychology Drives the Economy, and Why it Matters for Global Capitalism

Athol Fitzgibbons, Keynes' Vision

Nicholas Wapshott, Keynes Hayek: The Clash that Defined Modern Economics

#### 20) 28 February – FDR's New Deal: Political Economy of Democratic Inclusion

\*T.H. Watkins, The Great Depression: America in the 1930s

\*R. Biles, A New Deal for the American People

\*Brandon M. Herring, 'Privileging Public Interest above Private Interest: A Hegelian Analysis of FDR's New Deal'

[http://aladinrc.wrlc.org/bitstream/handle/1961/9356/Herring,%20Brandon%20-

%20Spring%20'10%20(P).pdf?sequence=1]

Eric Rauchway, The Money Makers: How Roosevelt and Keynes Ended the Great Depression, Defeated Fascism and Secured a Prosperous Peace

John Kenneth Galbraith, The Great Crash of 1929

Ben Bernanke (ed), Essays on the Great Depression

Harold James, The End of Globalization: Lessons From the Great Depression

W. J. Barber, From New Era to New Deal: Herbert Hoover, the Economists, and American Economic Policy, 1921-1933

W. J. Barber, Designs within Disorder: Franklin D. Roosevelt, the Economists, and the Shaping of American Economic Policy, 1933-1945

B. Mitchell, Depression Decade: From New Era through New Deal, 1929-1941

A.M. Schlesinger, Jr., The Coming of the New Deal

R.F. Levine, Class Struggle and the New Deal

K.S. Davis, FDR: The New Deal Years, 1933-1937

T. Rosenof, Dogma, Depression, and the New Deal

A. Berle & G. Means, The Modern Corporation and Private Property

J. Burnham, The Managerial Revolution

C. Gordon, New Deals: Business, Labor and Politics in America 1920-1935

W.E. Leuchtenburg, Franklin D. Roosevelt and the New Deal, 1932-1940

R.B. Day, The 'Crisis' and the 'Crash', ch.8

A comprehensive web site: (http://newdeal.feri.org/)

Rexford Tugwell, The Democratic Roosevelt

Howard Zinn (ed) New Deal Thought (American Heritage Series)

Film: "The Grapes of Wrath"

Colin Gordon, New Deals: Business, Labor, and Politics in America, 1920-1935

Conrad Black, Franklin Delano Roosevelt: Champion of Freedom

Harvey Klehr, The Heyday of American Communism: The Depression Decade

Film: 'The Grapes of Wrath'

### 21) 7 March – National Socialism: Political Economy of Totalitarian Inclusion

\*A. Tooze, The Wages of Destruction: The Making and Breaking of the Nazi Economy

\*W.L. Shirer, Rise and Fall of the Third Reich (especially chapters 7-8)

\*E. Fromm, Fear of Freedom

F. Neumann, Behemoth: The Structure and Practice of National Socialism, Parts 2&3

A. Barkai, Nazi Economics: Ideology, Theory and Policy

A. Hitler, Mein Kampf

A. Hitler, *Hitler's Secret Book* [on foreign policy, with an introduction by Telford Taylor]

F. Pappenheim, The Alienation of Modern Man

F. Tönnies, Community and Society

Rudolf Hilferding, Finance Capitalism

Harold James, The End of Globalization: Lessons From the Great Depression

Hannah Arendt, *The Origins of Totalitarianism* (part 3)

R.J. Overy, War and Economy in the Third Reich

R.J. Overy, The Nazi Economic Recovery, 1932-1938

A. Bullock, Hitler: A Study in Tyranny

A. Schweitzer, Big Business in the Third Reich

B.H. Klein, Germany's Economic Preparations for War

S. Reich, The Fruits of Fascism

J. Gillingham, Industry and Politics in the Third Reich

H.A. Turner, German Big Business and the Rise of Hitler

A. Speer, *Inside the Third Reich: Memoirs* 

T. Rockmore, On Heidegger's Nazism and Philosophy

L. Ferry & A. Renaut, Heidegger and Modernity

- G. Steiner, Heidegger (Modern Masters)
- S.J. Wool (ed), The Nature of Fascism
- R. Cecil, The Myth of the Master Race: Alfred Rosenberg and Nazi Ideology
- C.J. Friedrich (ed) Totalitarianism
- C.J. Friedrich & Z.K. Brzezinski, Totalitarian Dictatorship and Autocracy
- Z.A.B. Zeman, Nazi Propaganda
- H. Kohn, *The Mind of Germany*
- D. Schoenbaum, Hitler's Social Revolution, Class and Status in Nazi Germany, 1933-1939

Eugene N. Anderson, "German Romanticism as an Ideology of Cultural Crisis," *Journal of the History of Ideas*, 2.3 (1941), 301-317

Richard Bessel, "The Nazi Capture of Power," *Journal of Contemporary History*, 39.2 (2004), 169-188 Otto Fflanze, "Bismarck and German Nationalism," *The American Historical Review*, 60.3 (1955), 548-566

G. Aly & S. Helm, Architects of Annihilation: Auschwitz and the Logic of Destruction

Volker Ulrich, Hitler: Ascent (1889-1939)

Film: "Triumph of the Will"

## 22) 14 March – Japan: Culture, Corporatism, MITI and the "Miracle"

- \*M. Morishima, Why has Japan 'Succeeded'? Western Technology, Japanese Ethos
- \*M. Morishima, Japan at a Deadlock
- \*Alexander Gerschenkron, Economic Backwardness in Historical Perspective
- \*W. K. Tabb, The Postwar Japanese System: Cultural Economy and Economic Transformation
- \*E. Sakakibara, Beyond Capitalism: The Japanese Model of Market Economics
- \*Ruth Benedict, The Chrysanthemum and the Sword: Patterns of Japanese Culture

Lawrence E. Harrison and Samuel P. Huntington, Culture Matters, chs. 17-19

Andrew Gordon, A Modern History of Japan: From Tokugawa Times to the Present

G.C. Allen, A Short Economic History of Japan

W.G. Beasley, The Meiji Restoration

C. Hayashi & Y. Kuroda, Japanese Culture in Comparative Perspective

Shigeto Tsuru, Japan's Capitalism: Creative Defeat and Beyond

- C. Johnson, MITI and the Japanese Miracle
- C. Johnson, Who Governs? The Rise of the Developmental State
- H. Shimada, "Structural Policies in Japan," in Samuel Kernell (ed), *Parallel Politics: Economic Policy-making in the United States and Japan*
- S. Kumon & H. Rosovsky (eds), The Political Economy of Japan
- G.B. Sansom, Japan: A Short Cultural History
- G.B. Sansom, A History of Japan, 1615-1867
- G.B. Sansom, The Western World and Japan
- W.W. Lockwood, The Economic Development of Japan: Growth and Structural Change 1868-1938
- M.L. Gerlach, Alliance Capitalism: the Social Organization of Japanese Business
- R. Katz, Japan, the System that Soured: The Rise and Fall of the Japanese Economic Miracle
- R. Katz, Japanese Phoenix: The Long Road to Economic Revival
- R. Wade, Governing the Market: Economic Theory and the Role of the Market in East Asian Industrialization

Federal Reserve Board, Preventing Deflation: Lessons From Japan's Experience in the 1990s,

http://www.federalreserve.gov/pubs/ifdp/2002/default.htm

M. Porter (et al), Can Japan Compete?

R. Dore, Social Evolution, Economic Development and Culture

Tessa-Morris Suzuki, A History of Japanese Economic Thought

Gary D. Allinson, Japan's Postwar History

#### 23) 21 March – China: From Plan to Globalization 'With Chinese Characteristics'

- \*Barry Naughton, The Chinese Economy: Transitions and Growth
- \*Yongnian Zheng, Globalization and State Transformations in China
- \*Yasheng Huang, Capitalism With Chinese Characteristics
- \*Alexander Gerschenkron, Economic Backwardness in Historical Perspective
- \*C.P. Fitzgerald, The Chinese View of Their Place in the World
- \*Carl E. Walter & Fraser J.T. Howie, Red Capitalism: The Fragile Financial Foundation of China's

Extraordinary Rise

Chris Bramall, Chinese Economic Development

Julian Gewirtz, Unlikely Partners: Chinese Reformers, Western Economists, and the Making of Global China

Peter Nolan, *China's Rise, Russia's Fall: Politics, Economics and Planning in the Transition from Stainism* Lawrence E. Harrison and Samuel P. Huntington, *Culture Matters*, chs. 17-19

Victoria Mantzopoulos & Raphael Shen, The Political Economy of China's Systemic Transformation

Christopher Marsh, Unparalleled Reforms: China's Rise, Russia's Fall, and the Interdependence of Transition

Osman Suliman, (ed), China's Transition to a Socialist Market Economy

Joseph C. H. Chai, China: Transition to a Market Economy

John Gittings, The Changing Face of China: From Mao to Market

William Hurst, The Chinese Worker After Socialism

Martin Hart-Landsberg and Paul Burkett, China and Socialism: Market Reforms and Class Struggle

Randall Peerenboom, China Modernizes: Threat to the West or Model for the Rest?

Silke Krieger & Rolf Trauzettel, eds., Confucianism and the Modernization of China

Lucian W. Pye, The Spirit of Chinese Politics

Julia Ching, Probing China's Soul: Religion. Politics, and Protest in the People's Republic

S. Gordon Redding, The Spirit of Chinese Capitalism

Hung-chao Tai, ed., Confucianism and Economic Development

Max Weber, The Religion of China

Xiaoqin Guo, State and Society in China's Democratic Transition: Confucianism, Leninism and Economic Development

Kenneth Liberthal, Governing China

Maurice Meisner, Mao's China and After

A. Lawrence (ed), China Since 1919 – Revolution and Reform: A Sourcebook

Q. Edward Wang, "History, Space and Ethnicity: The Chinese Worldview," in *Journal of World History* 10.2 (1999) 285-305 (http://muse.jhu.edu/demo/journal\_of\_world\_history/v010/10.2wang.html)

Wang Hui, China's New Order

Daniel Bell (ed), Confucian Political Ethics

Daniel Bell, Beyond Liberal Democracy: Political Thinking for an East Asian Context

Daniel Bell, China's New Confucianism: Politics and Everyday Life in a Changing Society

Ezra F. Vogel, Deng Xiaoping and the Transformation of China

Justin Yifu Lin, Demystifying the Chinese Economy

Justin Yifu Lin, China's Economic Development and Cultural Renaissance in the Multipolar Growth World of the 21st Century

[(1104785060319/598886-1104852366603/599473-1223731755312/Lin-

China\_Economic\_Development\_and\_Cultural\_Renaissance\_in\_the\_Multipolar\_Growth\_World-Beijing\_Forum.pdf]

Ch'ien Mu, Traditional Government in Imperial China

World Bank (2006), An Essay on Economic Reforms and Social Change in China (http://www-

wds.worldbank.org/external/default/WDSContentServer/WDSP/IB/2006/11/17/000016406\_20061117115947/Rendered/PDF/wps4057.pdf)

World Bank (2007), Poverty, Inequality and Social Disparities During China's Economic Reform (http://www-

wds.worldbank.org/external/default/WDSContentServer/WDSP/IB/2007/06/13/000016406\_2007061 3095018/Rendered/PDF/wps4253.pdf)

World Bank (2007), Does 'Good Government' Draw Foreign Capital (http://www-

wds.worldbank.org/external/default/WDSContentServer/WDSP/IB/2007/04/13/000016406\_2007041 3150536/Rendered/PDF/wps4206.pdf)

John Knight, *Inequality in China: An Overview* (https://openknowledge.worldbank.org/handle/10986/15843) Timothy Brook and Hy V. Luong, Culture and Economy: The Shaping of Capitalism in Eastern Asia

# 24) 28 March – Globalization as "The End of History": The Abstract Totality of Finance Capital or a Global 'Polis'

- \*P. Hirst & G. Thompson, Globalization in Question
- \* Kenichi Ohmae, The End of the Nation State
- \*J. Habermas, The Postnational Constellation, (esp. chs. 3-4)
- \* J. Rawls, The Law of Peoples
- R.B. Day, 'Globalization, Markets and Ethics' in Globalization and Political Ethics, ed. R.B. Day & J. Masciullii, pp. 1-53
- J. Habermas, *The Inclusion of the Other* (Sections III and IV)

Alexander Dugin, The Fourth Political Theory

Joseph E. Stiglitz, Globalization and its Discontents

Joseph E. Stiglitz, Making Globalization Work

Martin Wolf, Why Globalization Works

Martin Wolf ,The Shifts and the Shocks: What We've Learned -- and Still Have to Learn - from the Financial Crisis

J. Gray, False Dawn - The Delusions of Global Capitalism

Charles R. Beitz, Political Theory and International Relations

John H. Dunning (ed), Making Globalization Good: The Moral Challenges of Global Capitalism

R.B. Day & Joseph Masciulli (eds), Globalization and Political Ethics (esp. Ch. 1)

D. Ingram, "Between Political Liberalism and Postnational Cosmopolitanism," in *Political Theory* (June 2003)

Francis Fukuyama, The End of History and the Last Man

- D. Held & A. McGrew, D. Goldblatt & J. Perraton, Global Transformations: Politics, Economics and Culture
- D. Held & A. McGrew, Globalization/Anti-Globalization
- D. Held, Democracy and the Global Order: From the Modern State to Cosmopolitan Governance
- D. Arbhibugi & D. Held (eds), Cosmopolitan Democracy: An Agenda for a New World Order
- J. McMurtry, Unequal Freedoms: the Global Market as an Ethical System
- S. Strange, The Retreat of the State
- S. Strange, States and Markets
- R. Stubbs & G.R.D. Underhill, Political Economy and the Changing Global Order

Dani Rodrik, Has Globalization Gone too Far?

- Y. Sakamoto (ed), Global Transformation: Challenges to the State System
- R. Boyer & D. Drache, States Against Markets The Limits of Globalization
- J. Naisbitt, Global Paradox
- J. Lash & J. Urry, The End of Organised Capitalism
- B. Kagarlitsky, The Twilight of Globalization

Richard Falk, On Humane Governance

- W. Hutton, The World We're In
- J. Tomlinson, Globalization and Culture
- L. Sklair, Globalization: Capitalism and Its Alternative

Randall D. Germain (ed), Globalization and its Critics

Mandle, Jav. Globalization and the Poor

Jagdish Bhagwati, In Defense of Globalization

Charles R. Beitz, Political Theory and International Relations

Colin Hines, Localization: A Global Manifesto

Michael Woodin, Caroline Lucas, Green Alternatives To Globalization: A Manifesto

Chandran Nair, Consumptionomics: Asia's Role in Reshaping Capitalism and Saving the Planet

John Cavanagh & Jerry Mander, Alternatives to Economic Globalization

Peter Singer, One World: The Ethics of Globalization

Thomas Pogge, World Poverty and Human Rights

Thomas Pogge, ed., Freedom from Poverty As a Human Right: Who Owes What to the Very Poor?

Harold James, The End of Globalization: Lessons from the Great Depression

Harold James, The Creation and Destruction of Value: The Globalization Cycle

Martin Wolf, Fixing Global Finance

Jeffrey A. Frieden, Global Capitalism: Its Fall and Rise in the Twentieth Century

Dani Rodrik, The Globalization Paradox

#### **Course Requirements POL 478Y:**

10% -- 5 brief reports (1-3 pages) on weekly seminar topics

25% -- 1 essay (approx. 12-15 pages), due 28 March\*

20% -- one seminar presentation and class participation\*

25% -- one book review (8-10 pages) due 22 November

20% -- Final Exam (2 hours)

\* The Essay and seminar may be on the same topic.

Note: The weekly reports must be submitted at the seminar meeting where the topic is discussed.

The report can be used to formulate questions that you would like to see discussed in the seminar and which you will, presumably, want to ask the rapporteur on the basis of your own readings. Book reviews and essays are to be submitted to Turnitin. Late Book Reviews and Essays will be subject to a penalty of 5 percent per day. No essay or review more than 5 days late will be accepted (except in the case of a documented medical issue).

## **Course Requirements POL 2019Y:**

10% -- 5 brief reports (1-3 pages) on weekly seminar issues

40% -- 1 essay (approx. 20 pages), due 28 March\*

20% -- one seminar presentation

30% -- one book review (approx. 10-15 pages) due 22 November

\* The Essay and seminar may be on the same topic.

Note: The weekly reports must be submitted at the seminar meeting where the topic is discussed. The report can be used to formulate questions that you would like to see discussed in the seminar and which you will, presumably, want to ask the rapporteur on the basis of your own readings. Book reviews and essays are to be submitted to Turnitin. Late Book Reviews and Essays will be subject to a penalty of 5 percent per day. No essay or review more than 5 days late will be accepted (except in the case of a documented medical issue).

## **Essay Topics (478 & 2019Y):**

12, 14, 16 (a or b), 17, 19, 20, 21, 22, 23, 24

## **Seminar Topics**:

Same as essay topics

## Final Exam (POL 478Y):

The Final Exam will be based on the following three books:

- 1) R.J. Sullivan, *Introduction to Kant's Ethics*
- 3) Shlomo Avineri, Hegel's Theory of the Modern State
- 2) Shlomo Avineri, Social and Political Thought of Karl Marx

## **Book Review (478 & 2019Y):**

For the Book Review, POL 478 students will choose one of the three books listed above for the exam. The point of the review is to acquire basic familiarity with these three thinkers. With that in mind, you should work with the book whose material you are least familiar with. You may, of course, refer to other sources if you wish to criticize some inadequacy in the book that you review or if you wish to point out alternative interpretations. POL 2019Y students may choose to review one of these three books or some other book listed in this outline after consulting with Professor Day.

## **USE OF TURNITIN IN THIS COURSE**

Students in this course will be expected to submit their book review and essay to Turnitin:

"Normally, students will be required to submit their course essays to Turnitin.com for a review of textual similarity and detection of possible plagiarism. In doing so, students will allow their essays to be included as source documents in the Turnitin.com reference database, where they will be used solely for the purpose of detecting plagiarism. The terms that apply to the University's use of the Turnitin.com service are described on the Turnitin.com web site."

A student who objects to submitting work to turnitin.com will be required to make special arrangements with the Professor. These may include, but will not necessarily be limited to, the following:

- 1. Submission of an annotated bibliography of all works used in preparing the paper;
- 2. Submission of all rough work involved in preparing the paper;
- 3. Submission of the call numbers or web site addresses of all sources cited in their paper.

The website is (www.turnitin.com). Click on "New Users" and follow the instructions. You may also review the document "A Guide for Students" at:

(http://www.teaching.utoronto.ca/teaching/academicintegrity/turnitin/guide-students.htm)

At the same time as you submit your essay electronically to Turnitin, you must submit the traditional paper copy by hand and personally to your instructor.

The Turnitin software scans student essays against a database which includes public websites, paper-writing services, other essays submitted to Turnitin, and academic journal articles. The service produces a report on the originality of the essay. The instructor then reviews the reports (as well as your essay).

Assignments are not accessible to the general public once submitted to Turnitin. The student retains copyright ownership of his or her original work. Your essay is automatically added to Turnitin's archive for comparison against subsequently submitted material, thus ensuring that your work is not plagiarized. Should a match be found between assignments subsequently submitted in other courses and your essays for this course, Turnitin will inform the instructor that a match has been found with an archived document, and provide contact information for your instructor in this course. Your material will not be released to other Turnitin subscribers even if such a match is found.

You are strongly advised to save all of your research, notes and draft essays from this course in case a question of originality arises concerning your work.

Turnitin is in increasing use in North American universities as protection for students and instructors against academic fraud. It is a useful tool for protecting the integrity of your original work as well as your UTM degree.

The "Class Name" on Turnitin is: POL 478 Moral Reason and Economic History 2017-18

Class ID: 15727825 (15727852 for 2019Y)

Class enrollment password: livewell

## A WARNING ABOUT PLAGIARISM

Plagiarism is an academic offence with a severe penalty.

It is essential that you understand what plagiarism is and that you do not commit it. In essence, it is the theft of the thoughts or words of others, without giving proper credit. You must put others' words in quotation marks and cite your source(s). You must give citations when using others' ideas, even if those ideas are paraphrased in your own words. Plagiarism is unacceptable in a university. What the university calls "plagiarism", non-university institutions might call "fraud".

The University of Toronto provides a process that faculty members must initiate when they suspect a case of plagiarism. In the Department of Political Science, suspected evidence of plagiarism must be reported to the Chair; in most cases, the Chair passes the case on to the Dean.

A faculty member may not mark an assignment or assess a penalty if he or she finds evidence of plagiarism – the matter must be reported. Penalties are assigned by the Chair, by the Dean or by the University of Toronto Tribunal.

#### The following are some examples of plagiarism:

- 1. Submitting as your own an assignment written by someone else.
- 2. Quoting an author without indicating the source of the words.
- 3. Using words, sentences, or paragraphs written by someone else and failing to place quotation marks around the material and reference the source and author. Using either quotation marks or reference alone is not sufficient. Both must be used!
- 4. Adapting an author's ideas or theme and using it as your own without referencing the original source
- 5. Seeking assistance from a friend or family member in respect to work you claim as your own.

Ignorance of the rules against plagiarism is not a defense; students are presumed to know what plagiarism is and how to avoid it.

Students are especially reminded that material taken from the web **must** be quoted and cited in the same manner as if it came from a book or printed article.

If you are not sure whether you have committed plagiarism, it is better to ask a faculty member or teaching assistant than risk discovery and be forced to accept an academic penalty.

<u>Plagiarism is cheating</u>. It is considered a **serious offence** against intellectual honesty and intellectual property. Penalties can be **severe**, ranging from a mark of "0" for the assignment or test in question, **up to and including expulsion from the university**.

#### Some websites listed below on avoiding plagiarism:

'How to Use Sources and Avoid Plagiarism' - available at: http://www.writing.utoronto.ca/advice/using-sources/how-not-to-plagiarize Other Advisory Material available at: http://www.writing.utoronto.ca/home

## A NOTE CONCERNING CITATIONS AND SOURCE MATERIAL

#### Why Proper Citations are Necessary

Many students mistakenly believe that the sole purpose of proper citations and referencing is to safeguard against suspicion of academic dishonesty. In fact, a reader may wish simply to learn more about the issue in question, and citations make that possible. Students should understand that:

- 1. Academic inquiry is an ongoing 'conversation' within a scholarly community. Proper references are the 'record' of that conversation.
- 2. The advance of knowledge presupposes such a record. Proper references enable scholars to do research that builds upon, complements, or challenges views reached by other members of the community.
- 3. A reference or a citation is therefore a claim that the author has read the material, understood it, and proposes either to build upon it or to contest its validity. An author who offers a citation or a reference is therefore claiming familiarity with the material cited or referenced.

#### When Citations and References are Required

While references always involve judgments, there are commonly accepted reasons to cite source material:

- 1. To indicate the source of every direct quotation;
- 2. To indicate the source of material that is being paraphrased or summarized;
- 3. To acknowledge indebtedness to other authors for opinions, data and ideas that are not generally regarded as common knowledge;
- 4. To lend authority to a claim that the reader may reasonably be expected to question;
- 5. To support a statement for which there is either a single authority or about which there are conflicting perspectives;
- 6. To inform the reader of where to find alternative perspectives, corroborative authorities, or more extensive discussion of the topic.

#### Basic Features of the Chicago System of In-text Citations and References

The in-text system of author-date references that UTM POL uses by default comes from the 16<sup>th</sup> edition of the *Chicago Manual of Style*, which is available through the UTM Library website. Students should consult chapter 15, the basic features of which are summarized in a 'Quick Guide'

[http://www.chicagomanualofstyle.org/tools\_citationguide.html] (last accessed August 12, 2014)]

The 'Quick Guide' offers examples both of parenthetical citations and of the corresponding entries that occur in a reference list at the end of the essay. To provide additional commentary or information that would otherwise disrupt the main text of the essay, footnotes are used in the traditional manner. The reference list is arranged in alphabetical order by authors' surnames. If there is more than one title by the same author, they are arranged in chronological order. Extensive details concerning more complex issues are available in Chapter 15 of the *Manual*.

## **Helpful Writing Guides**

Margot Northey & Joan McKibbin: *Making Sense: A Student's Guide to Research and Writing* (http://www.oupcanada.com/catalog/9780199010165.html).

Vincent Hopper, Cedric Gale, Ronald C. Foote & Benjamin W. Griffith, Essentials of English: A Practical Handbook Covering All the Rules of English Grammar and Writing Style
[https://www.amazon.com/Essentials-English-Practical-Handbook-Covering/dp/0764143166]

#### **Missed Tests**

Students who miss a term test will be assigned a mark of zero for that test unless they are granted special consideration. If the term test was missed for reasons entirely beyond the student's control, a written request for special consideration may be submitted to the instructor within one week of the missed test. This request must explain the reason for missing the test and include appropriate documentation (e.g. Verification of Student Illness or Injury form).

A student whose explanation is accepted by the instructor may be granted a makeup test or a redistribution of weighting of other graded work. If the course has no other term work as part of the evaluation, a makeup test will be given. In no case may the weighting of the final examination in a 100-level course be increased beyond two-thirds of the total course mark.

If the student is granted permission to take a makeup test and misses it, then he or she is assigned a mark of zero for the test unless the instructor is satisfied that missing the makeup test was unavoidable. Students are not automatically entitled to a second makeup test.

### Accessibility

Students with diverse learning styles and needs are welcome in this course. In particular, if you have a disability/health consideration that may require accommodations, please feel free to approach me and/or the AccessAbility Resource Centre as soon as possible.

AccessAbility staff (located in Rm 2047, South Building) are available by appointment to assess specific needs, provide referrals and arrange appropriate accommodations. Please call 905-569-4699 or email access.utm@utoronto.ca. The sooner you let us know your needs the quicker we can assist you in achieving your learning goals in this course.

#### **Notice of Collection**

The University of Toronto respects your privacy. The information on medical certificates is collected pursuant to section 2(14) of the University of Toronto Act, 1971. It is collected for the purpose of administering accommodations for academic purposes based on medical grounds. The department will maintain a record of all medical certificates received. At all times it will be protected in accordance with the Freedom of Information and Protection of Privacy Act.

If you have questions, please refer to www.utoronto.ca/privacy or contact the University's Freedom of Information and Protection of Privacy Office at 416-946-5835. Address: Room 201, McMurrich Bldg., 12 Queen's Park Crescent, Toronto, ON, M5S 1A1.