**Winter 2016** **Instructor: Sara Hughes**
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# Urban Policy and Policymaking

Cities are where many of our most pressing social, economic, and environmental problems are addressed: innovation and economic development, poverty, infrastructure expansion, and environmental sustainability are all subject to the policies and investment priorities of city governments. In this course we will examine different theories of urban power and governance, the role of the local state in particular, and the ability of different theoretical approaches to explain the emergence and variation of pressing urban problems or suggest solutions. We will focus primarily on cities in the U.S. and Canada, but will also consider the ways in which cities elsewhere face similar or different conditions. Our aim will be to develop a fuller picture of how, why, and with what consequences city governments and their partners develop and implement policy.

The course is divided into three parts. First (Weeks 1-4), we will explore the alternative theoretical approaches to explaining urban policy outcomes and the source of urban policy problems. Second (Weeks 5-7), we will focus more specifically on the role that local governments are playing in modern governance contexts. Third (Weeks 8-12), we will put our knowledge to use in examining the policy challenge of climate change for cities.

## COURSE READINGS

All readings will be posted on the course Blackboard site and the majority can be found electronically through the University of Toronto Library's holdings.

## REQUIREMENTS

The course will be run as a seminar, and therefore will be discussion-based. Students are expected to complete each week's assigned readings and actively participate in class discussions. Final grades will be based on participation, four critical response papers, and a final project.

### Participation

You are expected to attend each class and arrive on time. Before each class, you should have finished the readings assigned for that day. In order to be sure you are as prepared for class as possible, be sure to complete the readings with a critical eye: What point is the author making? Are the most important aspects of the issue discussed? How does this reading compare to other literature exploring the same topic? To your own ideas and experiences? Can you spot weaknesses in the author's arguments? You may find it easier to participate in class discussions if you take notes as you go.

Half of your participation grade will be based on helping to lead discussion one week. There may be 2-3 students responsible for the discussion each week. On the first day of class we will establish the schedule.

Critical Response Papers

You will be required to write **four** critical response papers throughout the course of the term. These should be 2-4 pages in length (12 point font, double spaced) and critically evaluate the arguments and findings from the week's readings. These are not to be summaries of the readings, they must compare and contrast the readings and evaluate them in the broader context of the course and your own thinking. You can choose any week to complete the critical response papers, but the first response must be turned in by February 23rd and you may not turn in a response on the day you are leading discussion.

Final Project

You may choose between two options for your final project, depending on where your longer-term interests lie. You can draw on both scholarly and non-scholarly sources as necessary. Scholarly sources include journal articles and academic books; non-scholarly sources include newspaper and magazine articles, websites, and non-academic books. You should use 12 point font, double space your text, and use a consistent and established citation style. The final product should be around 15 pages in length, not including references.

*Project Option 1: Research Proposal*

The aim of this project is for you to produce a sophisticated proposal for a research project related to urban policy and policymaking. The proposal should consist of four parts:

Part 1: A description of the problem or phenomena to be studied and why it is important.

Part 2: A review of what is known about the topic already.

Part 3: A summary of what is *not* known **but should be known** about the topic.

Part 4: A proposal for research that would address the knowledge gap that has been identified, including research design, data collection and data analysis.

*Project Option 2: Policy Analysis*

The aim of this project is for you to write an authoritative assessment of a specific urban policy adopted by a specific city or set of cities. The analysis should consist of three parts:

Part 1: A description of the policy being evaluated and the city or cities that have adopted it.

Part 2: An in-depth assessment of what the outcomes and impacts of the policy have been. Outcomes include new planning tools, committees, procedures, or reports, while impacts

include actual changes to the conditions being targeted (e.g., reduced crime rates, reduced energy use, increased economic development, etc.). A variety of methods may be used.

Part 3: A synthesis of your findings, including recommendations for decision makers going forward.

**GRADING**

Critical Response Papers……40% (10% each)
Final Project (Due April 5)……..40%
Participation……20%

**COURSE POLICIES**

Attendance and Participation

You are expected to attend each class on time, having completed the readings for that week. This is a discussion-based course and so your preparation is critical for your success (and enjoyment!). As described above, 20% of your final grade will be based on your participation in class discussions, including helping to facilitate one week's discussion.

Email

Please send emails via your University of Toronto account and include the course code in the subject line. I will do my best to get back to you within 48 hours.

Submitting Assignments

All assignments should be submitted in class as a hard copy.

Late Assignments

Late assignments are subject to an automatic penalty of 15% and will not be accepted after 7 days. Extensions can only be granted under exceptional circumstances, usually restricted to provable family or medical emergencies, and require the written permission of the instructor. Assignments submitted after the due date must be submitted to and stamped by the Department of Political Science main office.

Academic Honesty

It is the responsibility of each student to be able to demonstrate the originality of his or her work. Failure to properly reference figures, concepts, and quotations that are not your own will result in academic penalties, as required by the University of Toronto's policy on plagiarism. At a minimum, for every assignment, the sources of all data and ideas must be properly referenced using a standard academic referencing style such as Chicago, APA, or MLA. If you are unclear about what

constitutes plagiarism or how to reference sources, please visit:
http://www.writing.utoronto.ca/advice/using-sources/how-not-to-plagiarize

**COURSE OUTLINE AND READING ASSIGNMENTS**

Part I. Who Governs Cities?

Week 1 (January 12): Introduction to Urban Policy and Policymaking

- Sharpe, L.J. 1970. "Theories and Values of Local Government," *Political Studies*, Vol. XVIII, No. 2, pp. 153-174.
- Kjellberg, Francesco. 1995. "The Changing Values of Local Government," *The Annals of the American Academy of Political and Social Science,* Vol. 540, pp. 40-50.
- Sassen, Saskia. 2000. "The Global City: Strategic Site/New Frontier," *American Studies,* Summer/Fall, pp. 79-95.

Week 2 (January 19): City Limits and Growth Machines

- Peterson, Paul. 1981. *City Limits*, University of Chicago Press, Chicago and London. (Chapters 1-3)
- Molotch, Harvey. 1976, "The City as a Growth Machine: Toward a Political Economy of Place," *The American Journal of Sociology*, Vol. 82, No. 2, pp. 309-332.
- Goetz, Edward G. and Mara Sidney. 1994. "Revenge of the Property Owners: Community Development and the Politics of Property," *Journal of Urban Affairs*, Vol. 16, No. 4, pp. 319-334.

Week 3 (January 26): Regime Theory

- Stone, Clarence. 1989, *Regime Politics: Governing Atlanta 1946-1988,* University of Kansans Press, Lawrence. (Chapter 1)
- Stone, Clarence. 1993, "Urban Regimes and the Capacity to Govern: A Political Economy Approach," *Journal of Urban Affairs,* Vol. 15, No. 1, pp. 1-28.
- Mossberger, Karen and Gerry Stoker. 2001. "The Evolution of Urban Regime Theory: The Challenge of Conceptualization," *Urban Affairs Review,* Vol. 36, No. 6, pp. 810-835.
- Cobban, Timothy. 2003. "The Political Economy of Urban Redevelopment: Downtown Revitalization in London, Ontario, 1993-2002," *Canadian Journal of Urban Research,* Vol. 12, No. 2, pp. 231-248.

Week 4 (February 2): Governance Theory

- Pierre, Jon. 2014. "Can Urban Regimes Travel in Time and Space? Urban Regime Theory, Urban Governance Theory, and Comparative Urban Politics," *Urban Affairs Review,* Vol. 50, No. 6, pp. 864-889.

- Pierre, Jon and B. Guy Peters. 2012. "Urban Governance," in Karen Mossberger, Susan E. Clarke, and Peter John (eds.), *The Oxford Handbook of Urban Politics,* Oxford University Press, Oxford, pp. 71-86.
- Kjaer, Anne Mette. 2009. "Governance and the Urban Bureaucracy," in Jonathan Davies and David Imbroscio (eds.), *Theories of Urban Politics,* Sage Publications, London and Thousand Oaks, pp. 137-152.

Part II. The Role of Local Governments

Week 5 (February 9): Local Governments and Networks

- McGuirk, Pauline M. 2000. "Power and Policy Networks in Urban Governance: Local Government and Property-led Regeneration in Dublin," *Urban Studies,* Vol. 37, No. 4, pp. 651-672.
- Gore, Christopher D. 2010. "The Limits and Opportunities of Networks: Municipalities and Canadian Climate Change Policy," *Review of Policy Research,* Vol. 27, No. 1, pp. 27-46.
- Le Gales, Patrick. 2001. "Urban Governance and Policy Networks: On the Urban Political Boundedness of Policy Networks. A French Case Study," *Public Administration,* Vol. 79, No. 1, pp. 167-184.

Week 6 (February 23): Entrepreneurial Local Government

- Harvey, David. 1989. "From Managerialism to Entrepreneurialism: The Transformation in Urban Governance in Late Capitalism," *Geografiska Annaler* Vol. 71B, No. 1, pp. 3-17.
- Jessop, Bob and Ngai-Ling Sum. 2000. "An Entrepreneurial City in Action: Hong Kong's Emerging Strategies in and for (Inter)Urban Competition," *Urban Studies,* Vol. 37, No. 12, 2287-2313.
- Clarke, Susan and Gary Gaile. 1997. "Local Politics in a Global Era: Thinking Locally, Acting Globally," *The Annals of the American Academy of Political and Social Science,* Vol. 551, No. 1, pp. 28-43.

Week 7 (March 1): Normative Concerns

- Bogason, Peter and and Juliet A. Musso. 2006. "The Democratic Prospects of Network Governance," *The American Review of Public Administration,* Vol. 36, No. 1, pp. 3-18.
- Haikio, Liisa. 2007. "Expertise, Representation and the Common Good: Grounds for Legitimacy in the Urban Governance Network," *Urban Studies,* Vol. 44, No. 11, pp. 2147-2162.
- Beauregard, Robert A and Jon Pierre. 2000. "Disputing the Global: A Sceptical View of Locality-Based International Initiatives," *Policy & Politics,* Vol. 28, No. 4, pp. 465-478.

Part III. Solving Urban Policy Problems: The Case of Climate Change

Week 8 (March 8): Overview

- Bulkeley, Harriet and Michele Betsill. 2005. "Rethinking Sustainable Cities: Multilevel Governance and the 'Urban' Politics of Climate change," *Environmental Politics,* Vol. 14, No. 1, pp. 42-63.
- Sharp, Elaine B., Dorothy M. Daley, Michael S. Lynch. 2011. "Understanding Local Adoption and Implementation of Climate Change Mitigation Policy," *Urban Affairs Review,* Vol. 47, No. 3, pp. 433–57.
- Kousky and Schneider
- Arup. 2014. *Climate Action in Megacities: C40 Cities Baseline and Opportunities*, Volume 2.0. http://issuu.com/c40cities/docs/c40_climate_action_in_megacities

Week 9 (March 15): Transportation

- Kennedy, Christopher, Eric Miller, Amer Shalaby, Heather Maclean and Jesse Coleman. 2006. "The Four Pillars of Sustainable Urban Transportation," *Transport Reviews,* Vol. 25, Issue 4, pp. 393-414.
- Winston, Clifford. 2000. "Government Failure in Urban Transportation," *Fiscal Studies,* Vol. 21, No. 4, pp. 403-425.
- Schaller, Bruce. 2010. "New York City's congestion pricing experience and implications for road pricing acceptance in the United States," *Transport Policy,* Vol. 17, Issue 4, pp. 266-273.
- Robinson, Danielle. 2011. "Modernism at a Crossroad: The Spadina Expressway Controversy in Toronto, Ontario ca. 1960-1971," *The Canadian Historical Review,* Vol. 92, No. 2, pp. 295-322.

Week 10 (March 22): Energy Supplies

- MacKillop, Fionn and Julie-Anne Boudreau. 2008. "Water and Power Networks and Urban Fragmentation in Los Angeles: Rethinking assumed mechanisms," *Geoforum,* 39(6): 1833-1842.
- Aylett, Alex. 2013. "Networked Urban Climate Governance: Neighbourhood Scale Residential Solar Energy Systems and the Example of Solarize Portland," *Environment and Planning C: Government and Policy,* pp. 1-28.
- Monstadt, Jochen. 2007. "Urban Governance and the Transition of Energy Systems: Institutional Change and Shifting Energy and Climate Policies in Berlin," *International Journal of Urban and Regional Research,* 31(2), 326-343.
- St. Denis, Genevieve and Paul Parker. 2009. "Community energy planning in Canada: The role of renewable energy," *Renewable and Sustainable Energy Reviews,* 13: 2088-2095.

Week 11 (March 29): Energy Demand

- Marcotullio, Peter, Sara Hughes, Andrea Sarzynski, Stephanie Pincetl, Landy Sanchez Pena, Patricia Romero-Lankao, Daniel Runfola, Karen Seto. 2014. "Urbanization and the carbon cycle: Contributions from social science," *Earth's Future,* 2: 496-514.

- Koski, Chris. 2010. "Greening America's Skylines: The Diffusion of Low-Salience Policies," *Policy Studies Journal,* 38(1): 93-117.
- Iwaro, Joseph and Abraham Mwasha. 2010. "A review of building energy regulation and policy for energy conservation in developing countries," *Energy Policy,* 38(12): 7744-7755.
- City of New York, "Overview of the Greener, Greater Buildings Plan" http://www.nyc.gov/html/gbee/downloads/pdf/greener_greater_buildings_plan.pdf

Week 12 (April 5): Synthesis

- TBA